

QS - CERTIFICATE OF ASSESSMENT - EC (MODULE D)

This is to certify:**That the Quality System of Recreational Craft Propulsion Engine**

with type designation(s) and manufacturing premise(s) as specified
in the Appendix to this certificate.

Manufacturer

Caterpillar, Inc.
Peoria IL, United States

Has been assessed with respect to Directive 2013/53/EU of the European Parliament and of the Council according to conformity assessment procedure "Module D" described in Annex II of the Decision No 768/2008/EC of the European Parliament and of the Council, and found to comply with the applicable requirements.

Limitations:

Modifications made to the Quality System shall immediately be reported to DNV GL in order to examine whether this certificate remains valid.

Annual audits will be held to verify the validity of the certificate.

This Certificate is valid until **2017-03-31**.

Issued at **Hamburg** on **2016-12-30**

DNV GL local station:

**Hamburg Special & Light Craft,
IWV & EC**



Notified Body
No.: **0098**

for **DNV GL SE**

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Dirk Masanneck
Head of Certification Body

The certificate is subject to terms and conditions overleaf. Any significant changes in design or construction of the product, or amendments to the Directive or the applied standards may render this certificate invalid. The product liability rest with the manufacturer or his representative in accordance with Directive 2013/53/EU of the European Parliament and of the Council.

Job Id:
Certificate No: **RCDD0000005**

Products covered by this certificate

Type designation(s)	EC Type-Examination Cert. No.	Issued by
C18P16001	RCDB000004F	DNV GL SE, NoBo 0098
C9.3P15002	RCDB0000010	DNV GL SE, NoBo 0098

Manufacturing premises covered by this certificate

- Caterpillar Inc. Griffin, GA 30224, USA.

Other Documents

- ISO 9001:2008 approval certificate No: 05077-2006-AQ-HOU-ANAB, issued by:
DNV GL - Business Assurance
1400 Ravello Drive
Katy, TX 77449

Terms and conditions

The certificate is subject to the following terms:

- The certificate is only valid for the products and manufacturing premises listed above
- The Manufacturer shall fulfil the obligations arising out of the quality system as approved and uphold it so that it remains adequate and efficient.
- The Manufacturer shall inform the local DNV GL Office of any intended updating of the quality system. DNV GL will assess the changes and decide if the certificate remains valid
- Periodical audits will be held, in order to verify that the Manufacturer maintains and applies the quality system
- DNV GL reserves the right, on a spot basis or based on suspicion, to pay unannounced visits

The following conditions may render this certificate invalid:

- Changes in the quality system affecting production
- Periodical audits not held within the allowed time window

Marking of product

When meeting with the terms and conditions above, the manufacturer may legally affix the CE mark followed by the Notified Body identification number of DNV GL (0098).